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Advancing the Management of Unilateral Vocal Fold Paralysis: Diagnostic and Therapeutic Innovation

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Abstract: Unilateral vocal fold paralysis (UVFP) is a complex laryngeal disorder characterized by the immobility of one vocal fold, leading to significant voice, breathing, and swallowing impairments. This review synthesizes current advancements in the diagnosis, management, and emerging therapies for UVFP. Key diagnostic tools, including laryngeal electromyography (EMG) and imaging modalities such as CT and MRI, are explored for their role in determining etiology and guiding treatment. Management strategies encompass conservative approaches like voice therapy, minimally invasive procedures such as injection laryngoplasty, and surgical interventions including medialization thyroplasty and arytenoid adduction. Additionally, the review highlights novel techniques like nerve reinnervation, regenerative medicine, and the potential of 3D-printed implants. By integrating evidence-based practices and cutting-edge innovations, this article provides a comprehensive framework for optimizing care and improving patient outcomes. Future directions emphasize personalized treatment protocols and advancements in biomaterials and surgical technologies.

Keywords: *Unilateral Vocal Fold Paralysis*

Introduction.

The larynx is a biological valve located at the junction of the respiratory and the digestive tracts. The development of the larynx into a phonatory organ arose because its position atop the tracheobronchial tree and its valving function gave it a unique ability to regulate expiratory airflow. Human voicing is the result of complex interactions among all of the elements of the upper aerodigestive tract, but it depends on precise and finely modulated glottic closure for sound. The human larynx has a number of features that are specially adapted to its role as a sound source, including unique tissues capable of sustained high-speed oscillation and neuromotor specializations for fine control of vocal fold movement [1].

Unilateral vocal fold paralysis (UVFP) is a laryngeal disorder characterized by the immobility of one vocal fold, leading to significant impairments in phonation, respiration, and swallowing. This condition can arise from a variety of etiologies, including neurological, iatrogenic, neoplastic, and idiopathic causes. The complexities of

UVFP require a thorough understanding of its pathophysiology, diagnostic modalities, and treatment strategies to optimize patient outcomes. [1].

Epidemiology and Etiology

The incidence of UVFP is approximately 1 in 100,000 annually, with iatrogenic causes, such as surgery involving the thyroid, thorax, or neck, being the most common [1]. Other etiologies include trauma, malignancy, and idiopathic factors [2]. Viral infections are increasingly recognized as a potential cause, highlighting the need for comprehensive diagnostic evaluations [3].

Anatomy and Physiology of Vocal Folds

The vocal folds are vital structures within the larynx, comprising layered tissues and muscles. They are controlled by the recurrent laryngeal nerve (RLN), a branch of the vagus nerve, which is susceptible to injury due to its long course [4]. Dysfunction of the RLN results in impaired glottic closure, manifesting as hoarseness, dysphonia, and aspiration risk [5].

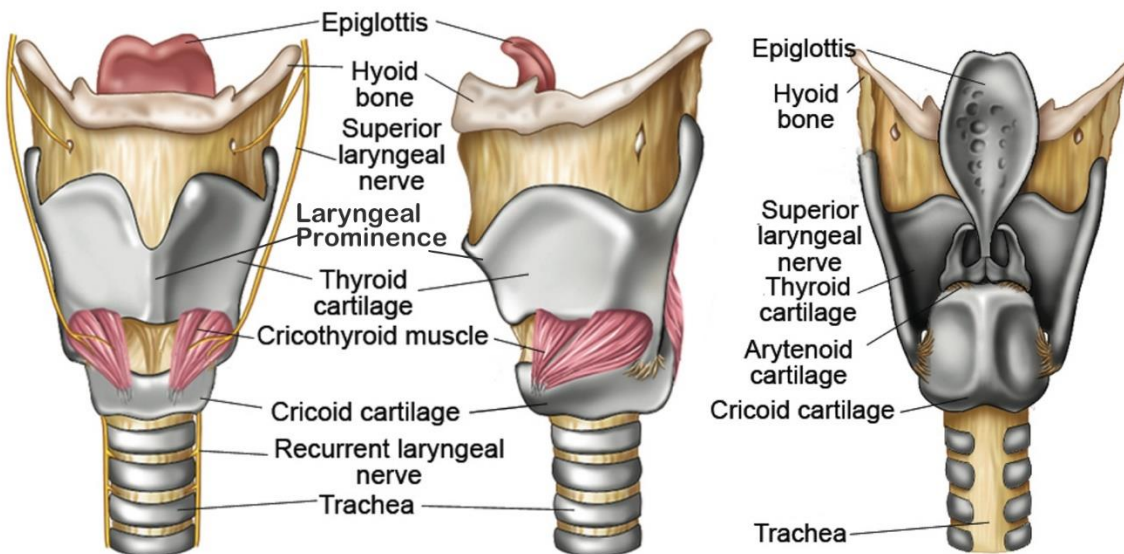


Figure 1: Anatomy of Vocal Cords

Pathophysiology of UVFP

UVFP disrupts the balance between the two vocal folds, causing asymmetry in vibration and incomplete glottic closure [6]. The resulting air leakage leads to breathy voice quality and reduced vocal intensity. Compensatory mechanisms, such as supraglottic hyperfunction, often exacerbate symptoms [7].

The most complex and highly specialized of the laryngeal functions is sound production. The ability to couple phonation with articulation and resonance allows for human speech. [7].

Phonation results from a cyclic interaction between exhaled air and the unique biophysical properties of the vocal folds, as explained originally by the myoelastic-aerodynamic theory of phonation. [7].

During phonation, the vocal folds act as an energy transducer that converts aerodynamic power generated by the chest, diaphragm, and abdominal musculature into acoustic power that is heard as the voice. This energy transformation occurs primarily in the space between the vocal folds; however, it is also influenced by

subglottic and supraglottic parameters. For normal phonation, adequate respiratory support, appropriate glottal closure, a normal vocal fold cover, and control of vocal fold length and tension are required. [8].

The process of phonation begins with the inhalation of air, and then glottic closure, to position the vocal folds near the midline. A simplified explanation of phonation is that exhalation causes subglottic pressure to increase until the vocal folds are displaced laterally, producing a sudden decrease in subglottic pressure. The forces that contribute to the return of the vocal folds to the midline include this pressure decrease, elastic forces in the vocal fold, and the Bernoulli effect of airflow. When the vocal folds return to the midline, pressure in the trachea builds again, and the cycle is repeated. Vocal fold structure determines whether the resulting vibration is periodic or chaotic. [8].

Actual phonation is more complex than the previous model because the vocal fold is not a homogenous structure and also because it vibrates in three dimensions. [7].

Moreover, the pattern of vibration varies with pitch and vocal register. The "body-cover" concept of phonation is that vibration of the mucosa does not correspond directly to that of the rest of the vocal fold. [7].

Instead, the "body" of the vocal fold is relatively static, whereas the wave is propagated in the mucosal "cover." This mucosal wave begins on the inferomedial aspect of the vocal fold and moves rostrally. As the superior edges of the vocal fold begin to separate, the lower edges close, and this temporal relationship is accounted for by Ishizaka's two-mass model. [7].

As the superior edges of the vocal folds separate, airflow through the divergent glottis generates greater negative pressure at the lower edge of the vocal folds, accelerating closure of the inferior glottis. [8].

The closure phase is also propagated rostrally. With the vocal folds fully approximated, subglottic pressure may again build and the cycle is repeated. The bodycover theory and two-mass model are consistent with most of the observed motion during modal phonation (e.g., chest register, in the middle range of pitch), although the mucosal wave decreases at higher pitches and is not visible during falsetto, suggesting that motion of the mucosa and the underlying tissue becomes coupled. In this mode, elastic recoil, rather than the Bernoulli effect, is the primary force driving the closing phase of phonation. The closing phase is much shorter, and only the superior edges of the vocal folds make contact. The vibratory characteristics of falsetto have been attributed to increased tension and decreased thickness of the vocal fold. During phonation at low pitches, the vocalis muscle is relaxed so that the "body" of the fold participates in oscillation. In general terms, hoarseness is the perceptual correlate of perturbations in the regularity of the glottal cycle and breathiness resulting from glottic insufficiency. [7].

Clinical Presentation

Patients with UVFP commonly present with hoarseness, breathiness, vocal fatigue, and dysphagia. The severity of symptoms depends on the position of the paralyzed vocal fold and the degree of compensation by the contralateral fold [8].

Diagnostic Evaluation

Diagnosis involves a combination of patient history, laryngeal imaging, and functional assessments. Flexible fiberoptic laryngoscopy is the gold standard for visualizing vocal fold motion and assessing glottic closure [9].

6. Role of Laryngeal Electromyography (EMG)

Laryngeal electromyography (EMG) is a diagnostic tool used to assess the electrical activity of the muscles controlling vocal fold movement. It provides critical insights into the neuromuscular status of the larynx and helps differentiate between nerve injury and mechanical fixation [10]. EMG can also be used to predict recovery potential in cases where the prognosis is uncertain.

In addition to its diagnostic utility, EMG is often used to guide treatment decisions, such as determining the timing of interventions. For example, EMG findings can help clinicians decide whether to pursue immediate surgical correction or adopt a watch-and-wait approach for potential spontaneous recovery [11].

Another benefit of laryngeal EMG is its ability to assess muscle synkinesis, a condition where nerve fibers grow back into incorrect muscle groups, resulting in paradoxical motion. Addressing synkinesis is crucial for optimizing treatment outcomes in UVFP patients [12].

Despite its benefits, EMG is technically demanding and requires expertise for accurate interpretation. Limitations include the invasive nature of the procedure and potential discomfort for patients. Ongoing advancements aim to improve its reliability and ease of use [13].

Overall, laryngeal EMG is an indispensable component of the diagnostic toolkit for UVFP, offering valuable information to tailor management strategies for each patient [14].

Imaging Modalities

Imaging studies play a pivotal role in the comprehensive evaluation of UVFP, helping to identify structural abnormalities and rule out malignancies along the recurrent laryngeal nerve (RLN) pathway. Computed tomography (CT) and magnetic resonance imaging (MRI) are the primary modalities used in this context [15]. CT scans are particularly useful for detecting bony abnormalities, masses, or trauma along the course of the RLN. For example, a CT scan can reveal thoracic lesions or mediastinal tumors compressing the nerve, which are common causes of UVFP [16].

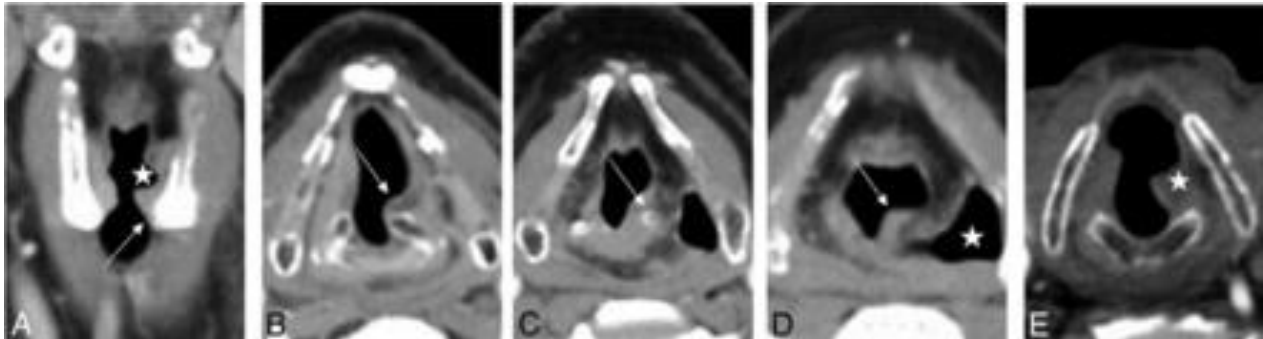


Figure 2: CT findings of UVFP images from 2 patients with proven left UVFP. A, Coronal CT image demonstrates dilation of the left laryngeal ventricle (star) and loss of the subglottic arch (arrow). B, Axial CT image demonstrates medial positioning of the left posterior vocal fold margin (arrow). C, Axial CT image demonstrates anterior positioning of the left arytenoid cartilage (arrow). D, Axial CT image demonstrates rotation and thickening of the left aryepiglottic fold and enlargement of the left pyriform sinus (star). E, Axial CT image demonstrates the mushroom sign, tilting toward the left (star).

MRI offers superior soft tissue contrast and is the preferred imaging modality for evaluating intrathoracic and neck masses. It is especially beneficial in identifying nerve sheath tumors and vascular anomalies that may impinge on the RLN [17].

Ultrasound is emerging as a complementary imaging tool, particularly for visualizing the thyroid gland and adjacent structures. It is non-invasive, readily accessible, and useful for detecting iatrogenic injuries post-thyroid surgery [18].

Advanced imaging techniques, such as functional MRI and positron emission tomography (PET), are being explored for their potential to provide dynamic insights into nerve and muscle function. These modalities may eventually enhance the diagnostic accuracy for complex cases of UVFP [19].

Imaging findings often guide the treatment plan by confirming the etiology and identifying reversible causes. For instance, surgical removal of a compressive mass may restore nerve function in cases of UVFP due to external compression [20].

Conservative Management

Conservative management is often the initial approach for patients with UVFP, particularly in cases where spontaneous recovery is anticipated. Voice therapy is the cornerstone of conservative treatment, focusing on improving vocal quality, respiratory efficiency, and swallowing function [12].

Voice therapy involves a series of exercises tailored to the patient's specific needs. These exercises aim to strengthen the compensatory mechanisms of the contralateral vocal fold, enhance glottic closure, and reduce hyperfunctional behaviors. Techniques such as pitch glides, breath control exercises, and resonant voice therapy are commonly employed [21].

Another aspect of conservative management is the use of diet modifications and swallowing exercises to mitigate the risk of aspiration. Patients with significant dysphagia may benefit from dietary adjustments, such as thickened liquids or altered food textures, to improve safety during swallowing [22].

For patients with minimal symptoms or those unwilling to undergo invasive procedures, observation and periodic monitoring are viable options. Regular follow-ups are crucial to track progress and adjust the treatment plan as needed [23].

Adjunctive therapies, including biofeedback and laryngeal massage, are gaining traction as complementary treatments. Biofeedback uses real-time visual or auditory cues to help patients optimize vocal fold function, while laryngeal massage alleviates tension in surrounding musculature [24].

While conservative management has its limitations, particularly in cases with severe glottic insufficiency, it remains an invaluable option for many patients. By addressing symptoms and enhancing functional capabilities, it lays the foundation for improved quality of life [25].

Injection Laryngoplasty

Injection laryngoplasty is a minimally invasive procedure designed to augment the paralyzed vocal fold temporarily. This technique improves glottic closure, restores voice quality, and mitigates aspiration risk. It is often used as a primary intervention or a bridging therapy while awaiting potential spontaneous nerve recovery [13].

Commonly used injection materials include hyaluronic acid, calcium hydroxylapatite, and autologous fat. Each material has unique properties that influence the duration and effectiveness of the augmentation. For instance, hyaluronic acid provides short-term benefits and is often used in temporary cases, while calcium hydroxylapatite offers longer-lasting results [26].

The procedure can be performed in an office or operating room setting, typically under local anesthesia. Flexible laryngoscopy is used to visualize the vocal folds and guide the precise placement of the injectable material. The simplicity and relatively low risk of the procedure make it an attractive option for many patients [27].

Injection laryngoplasty is particularly beneficial for patients who cannot undergo more invasive surgical interventions due to medical comorbidities or personal preferences. It is also a valuable option for patients who require immediate voice improvement for professional or social reasons [28].

Despite its advantages, injection laryngoplasty has limitations. The effects are temporary, typically lasting from a few months to a year, depending on the material used. Repeat injections may be necessary to maintain the desired outcomes [29].

Ongoing advancements in biomaterials and delivery techniques aim to enhance the safety, efficacy, and longevity of injection laryngoplasty. Research into biodegradable and regenerative materials holds promise for extending the benefits of this minimally invasive procedure [30].

Medialization Thyroplasty

Medialization thyroplasty is a surgical procedure that involves the insertion of an implant to reposition the paralyzed vocal fold medially. This intervention is aimed at improving glottic closure, thereby enhancing phonation, reducing aspiration risk, and improving overall quality of life for patients with UVFP [14].

The procedure is typically performed under local anesthesia, allowing intraoperative voice testing to ensure optimal implant positioning. The surgeon creates a window in the thyroid cartilage and inserts an implant made

of materials such as silicone or Gore-Tex. Adjustments are made based on the patient's vocal quality and airway patency [31].

Medialization thyroplasty is considered a durable solution for patients with persistent glottic insufficiency who do not respond to conservative measures or injection laryngoplasty. It is particularly effective for individuals with severe aspiration or those requiring long-term vocal stability [32].

Complications are rare but may include infection, implant extrusion, or over-medialization, leading to airway compromise. These risks highlight the importance of meticulous surgical technique and careful patient selection [33].

In recent years, advancements in implant design and surgical techniques have further improved the outcomes of medialization thyroplasty. Adjustable implants and computer-assisted planning are among the innovations enhancing precision and customization in this procedure [34].

Overall, medialization thyroplasty remains a cornerstone in the surgical management of UVFP, offering significant and lasting improvements in voice and swallowing function for many patients [35].

Arytenoid Adduction

This procedure is often performed alongside medialization thyroplasty for severe glottic insufficiency. It repositions the arytenoid cartilage to improve vocal fold tension and closure [15].

Nerve Reinnervation Techniques

An emerging approach in UVFP management is nerve reinnervation, which restores neuromuscular control by reconnecting the RLN or ansa cervicalis nerve to the paralyzed vocal fold [16].

Advances in Regenerative Medicine

Stem cell therapy and tissue engineering are being investigated as potential treatments for UVFP, aiming to restore vocal fold function at a cellular level [17].

Role of Botulinum Toxin

Botulinum toxin injections can be used to manage compensatory muscle hyperactivity in patients with UVFP, improving voice quality [18].

Emerging Therapies

Innovative treatments, such as three-dimensional (3D) printing for vocal fold implants and gene therapy, are under exploration and hold promise for future management strategies [19].

Long-Term Outcomes

Patients undergoing treatment for UVFP often report significant improvements in voice quality and swallowing function. However, long-term follow-up is essential to monitor for complications and recurrence of symptoms [20].

Conclusion

UVFP is a multifaceted condition requiring a multidisciplinary approach for effective management. Advances in diagnostic tools and therapeutic modalities are expanding treatment options, offering hope for improved functional outcomes. Future research should focus on refining regenerative therapies and developing personalized treatment protocols.

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